

CLASS: B.B.A.

15A/53

St. JOSEPH'S COLLEGE (AUTONOMOUS) TIRUCHIRAPPALLI – 620 002

SEMESTER EXAMINATIONS – APRIL 2015

TIME: 3 Hrs.

MAXIMUM MARKS: 100

SEM	SET	PAPER CODE	TITLE OF THE PAPER
VI	2012	11UBU630213	CAPITAL MARKET AND FINANCIAL SERVICES

SECTION – A

Answer all the questions:

20 x 1 = 20

Choose the correct answer:

- The secondary capital market, which is also known as
 - New Securities Market
 - IPO Market
 - Old Securities Market
 - Gild-edged Market
- IDBI was set up in
 - July 1964
 - July 1963
 - July 1965
 - July 1948
- Services offered by banking and financial companies are called
 - Financial System
 - Financial Services
 - Financial Market
 - All of these
- Which was the first mutual fund growth scheme launched by UTI, in 1986
 - Master gain
 - Master plus
 - Master profit
 - Master share
- Which is the oldest stock exchange in India?
 - NSE
 - BSE
 - ASE
 - MSE

Fill in the blanks:

- Government securities market is also known as _____.

7. Mainly _____ parties are involved in the factoring transactions.
8. At present all NBFCs except HFC are regulated by the _____.
9. The merchant banking activities are regulated by the _____.
10. The trading system of the NSE is known as _____.

State True or False:

11. The Indian financial system consists of the Indian money market and capital market.
12. The ICICI Bank is now India's second largest bank.
13. In India SEBI and the RBI act as the regulatory agencies in the financial services sector.
14. Venture capital originated first in India.
15. Debenture holders are the creditors of the company.

Match the following:

- | | |
|------------------------------------|-----------------------------------|
| 16. Public Finance | - a) Factoring and forfeiting |
| 17. IFCI | - b) It deals with new securities |
| 18. Budliwalas | - c) A statutory corporation |
| 19. Asset-based financial services | - d) Central Government |
| 20. New Issue Market | - e) The securities market |

SECTION – B

Answer all the questions:

5 x 4 = 20

21. a. Define financial system. What are the importance of financial system?

OR

- b. Distinguish between money market and capital market.
22. a. What are Financial Institutions? How are they classified?

OR

- b. Write a note on: i) Finance lease ii) Operating lease.
23. a. What are the objectives of financial services? Explain.

OR

- b. What is NBFCs? What is the importance of NBFCs in India?
24. a. Explain the mechanism of mutual fund operation.

OR

- b. Who are merchant bankers? Explain the concept of 'Portfolio Management'.
25. a. State the some important regulations brought out by the SEBI.

OR

- b. What is New issue market? Show the difference between NIM and Stock Exchange.

SECTION – C

Answer any FOUR questions:

4 x 15 = 60

26. What is a financial system? Explain the structure of Indian financial system.
27. Briefly explain the objects and functions of IDBI.
28. Explain the functions of Merchant Banking in India.
29. What is venture capital? Elaborate on the various stages of venture capital financing.
30. Explain the steps involved and the procedures adopted in the flotation of New issues in the market.
